

<b>Notice of References Cited</b>	Application/Control No. 09/843,124	Applicant(s)/Patent Under Reexamination TANG, CEDRIC	
	Examiner Jennifer Liversedge	Art Unit 3628	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,876,981	04-2005	Berckmans, Philippe E.	705/35
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Meissner, Gunter and Kawano, Noriko. Capturing the volatility smile of options on high-tech stocks - a combined GARCH-neural network approach. Derivatives Use, Trading & Regulation. London February 26, 2001. Vol. 7, Iss 2; pg. 140, 13 pages.
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.